

Lecture 17

Intensity-dependent refractive index

Optical Kerr effect.

Third-order nonlinear optics

NL polarization density, time domain

NL polarization. Scalar version; no dispersion of $\chi^{(3)}$

$$P^{(3)}(t) = \epsilon_0 \chi^{(3)} E^3(t)$$

Time-domain NL
polarization density
containing
components at
different
frequencies

Consider $\chi^{(3)}$ to be
constant for now

Time-domain, sum
of all fields

Third harmonic generation

Third harmonic generation (THG)

Fourier component



$$E(t) = \frac{1}{2}(E_1 e^{i\omega t} + c.c.)$$

Assume that the input field has only one frequency component ω

And assume a scalar version of the 3-wave interaction (the input field is along x-axis)

$$E_1 = E_1(\omega)$$

$$\chi^{(3)} = \chi_{xxxx}^{(3)}$$

NL polarization (scalar version)
in time domain:

$$P^{(3)}(t) = \epsilon_0 \chi^{(3)} E^3(t)$$

$$\begin{aligned} P^{(3)}(t) &= \epsilon_0 \chi^{(3)} \left(\frac{1}{2} E_1 e^{i\omega t} + c.c.\right)^3 = \\ &= \frac{1}{8} \epsilon_0 \chi^{(3)} (E_1 e^{i\omega t} + E_1^* e^{-i\omega t})(E_1 e^{i\omega t} + E_1^* e^{-i\omega t})(E_1 e^{i\omega t} + E_1^* e^{-i\omega t}) = \end{aligned} \quad (17.1)$$

$$= \frac{1}{8} \epsilon_0 \chi^{(3)} [E_1^3 e^{3i\omega t} + E_1^{*3} e^{-3i\omega t} + 3E_1(E_1 E_1^*) e^{i\omega t} + 3E_1^*(E_1 E_1^*) e^{-i\omega t}] =$$

Third
harmonic

Self phase
modulation (SPM)
terms

Third harmonic generation (THG)

pick only components with $\pm 3\omega$

$$P_{3\omega}(t) \rightarrow \frac{1}{8} \epsilon_0 \chi^{(3)} [E_1^3 e^{3i\omega t} + c.c.] = \underbrace{\frac{1}{4} \chi^{(3)} E_1^3}_{\text{ampl.}} \frac{1}{2} (e^{3i\omega t} + c.c.)$$

As usual, we now look for a polarization density component at a specific frequency 3ω

$$P_{3\omega}(t) = \frac{1}{2} [P(3\omega) e^{i3\omega t} + c.c.]$$

Fourier component (amplitude)



Hence we get:

$$P(3\omega) = \frac{1}{4} \epsilon_0 \chi^{(3)} E_1^3(\omega) \quad (17.2)$$

- NL polarization at third harmonic 3ω

- will come back to this topic later.

Intensity- dependent refraction
or
self phase modulation (SPM)

Intensity- dependent refraction

Assume that the input field has only one frequency component ω

And assume a scalar version of the 3-wave interaction (all fields are along x-axis)

$$E(t) = \frac{1}{2} E_1 e^{i\omega t} + c.c.$$

Fourier component
↙

$$E_1 = E_1(\omega)$$

NL polarization (scalar version)
in time domain:

$$P^{(3)}(t) = \epsilon_0 \chi^{(3)} E^3(t)$$

$$\chi^{(3)} = \chi_{xxxx}^{(3)}$$

$$\begin{aligned}
 P^{(3)}(t) &= \epsilon_0 \chi^{(3)} \left(\frac{1}{2} E_1 e^{i\omega t} + c.c. \right)^3 = \\
 &= \frac{1}{8} \epsilon_0 \chi^{(3)} (E_1 e^{i\omega t} + E_1^* e^{-i\omega t}) (E_1 e^{i\omega t} + E_1^* e^{-i\omega t}) (E_1 e^{i\omega t} + E_1^* e^{-i\omega t}) = \\
 &= \frac{1}{8} \epsilon_0 \chi^{(3)} [E_1^3 e^{3i\omega t} + c.c. + \underbrace{3E_1 (E_1 E_1^*) e^{i\omega t}}_{\text{pick only components with } \pm\omega} + c.c.] \\
 &\rightarrow \frac{3}{8} \epsilon_0 \chi^{(3)} (E_1 |E_1|^2 e^{i\omega t} + c.c.)
 \end{aligned}$$

Intensity- dependent refraction

Fourier component

$$\rightarrow \boxed{P(\omega) = \frac{3}{4} \epsilon_0 \chi^{(3)} |E_1(\omega)|^2 E_1(\omega)} \quad (17.3)$$

What what is the meaning of the $P(\omega) = P^{NL}(\omega)$ term?

Recall relation between polarization density P and the electric field : $P = \epsilon_0 \chi E$ $\chi = n^2 - 1$

$P^{NL}(\omega)$ is a term that can be described by $P^{NL}(\omega) = \Delta P = \Delta(\epsilon_0 \chi E_1) = \epsilon_0 \Delta \chi E_1$

$$\text{Hence} \quad \frac{3}{4} \epsilon_0 \chi^{(3)} |E_1|^2 E_1 = \Delta P = \Delta(\epsilon_0 \chi E_1) = \epsilon_0 \Delta \chi E_1 \quad \rightarrow \quad \Delta \chi = \frac{3}{4} \chi^{(3)} |E_1|^2$$

on the other hand, $\chi = n_0^2 - 1$; $\Delta \chi = \Delta(n_0^2 - 1) = 2n_0 \Delta n$ n_0 - linear ref. index

$$\rightarrow \quad \Delta n = \frac{3}{8n_0} \chi^{(3)} |E_1|^2 \quad \text{refractive index change induced by high optical intensity}$$

Recall that the intensity $I = \frac{1}{2} c \epsilon_0 n_0 |E_1|^2$

$$\text{Hence} \quad \Delta n = \frac{3}{8n_0} \chi^{(3)} \frac{2I}{c \epsilon_0 n_0} = \frac{3 \chi^{(3)}}{4 n_0^2 \epsilon_0 c} I \quad (17.3a)$$

Intensity- dependent refraction

The usual way of defining the intensity-dependent refractive index is by means of the equation:

$$n = n_0 + \underbrace{n_2 I}_{\Delta n} \quad (17.4)$$

linear ref. index intensity dependent ref. index [cm²/W] or [m²/W]

Hence we find that n_2 is related to $\chi^{(3)}$ by

self:
$$n_2 = \frac{3\chi^{(3)}}{4n_0^2\epsilon_0 c} \quad (17.5)$$

Cross phase modulation (XPM):
two waves with **parallel** polarization

Intensity- dependent refraction: two waves with || polarization

Co-polarized beams

Now we use two separate beams, where the presence of the strong beam of amplitude $E_2(\omega)$ leads to a modification of the refractive index experienced by a weak probe wave of amplitude $E_1(\omega')$. As before, we assume a scalar version of the 3-wave interaction (all fields are along x-axis)

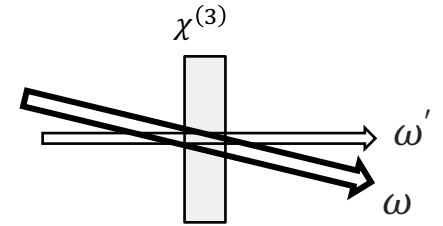
$$E(t) = \frac{1}{2}E_1e^{i\omega't} + \frac{1}{2}E_2e^{i\omega t} + c.c.$$

NL polarization:

$$P^{(3)}(t) = \epsilon_0\chi^{(3)}E^3(t)$$

sum of all fields

$$\chi^{(3)} = \chi_{xxxx}^{(3)}$$



now there are two fields

$$\begin{aligned} P^{(3)}(t) &= \epsilon_0\chi^{(3)}\left(\frac{1}{2}E_1e^{i\omega't} + \frac{1}{2}E_2e^{i\omega t} + c.c.\right)^3 = \\ &= \frac{1}{8}\epsilon_0\chi^{(3)}\left(E_1e^{i\omega't} + E_1^*e^{-i\omega't} + E_2e^{i\omega t} + E_2^*e^{-i\omega t}\right) \\ &\quad \times \left(E_1e^{i\omega't} + E_1^*e^{-i\omega't} + E_2e^{i\omega t} + E_2^*e^{-i\omega t}\right) \\ &\quad \times \left(E_1e^{i\omega't} + E_1^*e^{-i\omega't} + E_2e^{i\omega t} + E_2^*e^{-i\omega t}\right) \end{aligned}$$

total 64 terms

pick only components with $\pm\omega'$

Intensity- dependent refraction: two waves with || polarization

$$\rightarrow \frac{1}{8}\epsilon_0\chi^{(3)}6\{ (E_2E_2^*) E_1e^{i\omega't} + c.c.\} = \frac{3}{4}\epsilon_0\chi^{(3)}(|E_2|^2E_1e^{i\omega't} + c.c.) = \frac{3}{2}\epsilon_0\chi^{(3)}\frac{1}{2}(|E_2|^2E_1e^{i\omega't} + c.c.)$$

Look for the nonlinear polarization component at the angular frequency $\pm\omega'$ in the form $P^{(3)}(t) = \frac{1}{2}P(\omega')e^{i\omega t} + c.c.$

the Fourier component

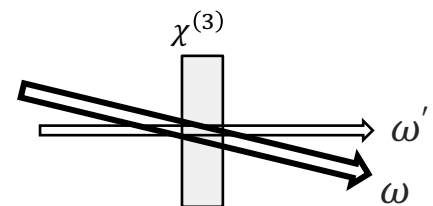
$$\rightarrow \boxed{P(\omega') = \frac{3}{2}\epsilon_0\chi^{(3)}|E_2|^2E_1} \quad (17.6) \quad \boxed{\chi^{(3)} = \chi_{xxxx}^{(3)}}$$

Hence we find that n_2 in the $\mathbf{n} = \mathbf{n}_0 + \mathbf{n}_2\mathbf{I}$ representation, is given by

cross: $\boxed{n_2 = \frac{3\chi^{(3)}}{2n_0^2\epsilon_0c}} \quad (17.7)$

Hence, a strong wave affects the refractive index of a weak wave **twice as much** as it affects its own refractive index.

In fact, a strong wave can be of the same frequency, as soon it is distinguishable from the weak wave (say by angle)



Cross phase modulation (XPM):
two waves with **perpendicular** polarization

Cross-nonlinear refraction: two waves with \perp polarization

This is the case commonly encountered in fibers.

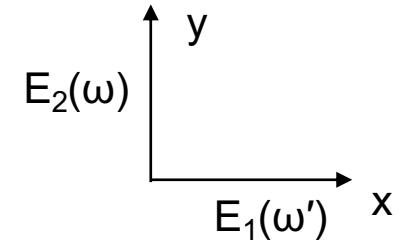
Now we use two separate beams with different frequencies and orthogonal polarizations: a weak probe wave of amplitude $E_1(\omega')$ along x-axis and a strong beam of amplitude $E_2(\omega)$ along y-axis. Now, we use a tensor version of the 3-wave interaction.

Look at **cross-nonlinear refraction**: how a strong beam with the orthogonal polarization changes ref. index of the 'probe' beam

use tensor form now:

$$P_i^{(3)} = \epsilon_0 \sum_{j,k,l} \chi_{ijkl} E_j E_k E_l$$

only cross components



$$\left. \begin{aligned} E_x &= \frac{1}{2} E_1 e^{i\omega' t} + c.c. \\ E_y &= \frac{1}{2} E_2 e^{i\omega t} + c.c. \end{aligned} \right\}$$

$$P_x^{(3)}(t) = \epsilon_0 \{ \chi_{xxyy} E_x E_y^2 + \chi_{xyyx} E_x E_y^2 + \chi_{xyxy} E_x E_y^2 \} =$$

$$= \epsilon_0 \{ \chi_{xxyy} + \chi_{xyyx} + \chi_{xyxy} \} \left(\frac{1}{2} E_1 e^{i\omega' t} + c.c. \right) \left(\frac{1}{2} E_2 e^{i\omega t} + c.c. \right) \left(\frac{1}{2} E_2 e^{i\omega t} + c.c. \right)$$

pick only components with $\pm\omega'$

Cross-nonlinear refraction: two waves with \perp polarization

$$\rightarrow \frac{1}{8} \epsilon_0 \{ \chi_{xxyy} + \chi_{xyyx} + \chi_{xyxy} \} 2 (E_2 E_2^*) (E_1 e^{i\omega't} + c.c.) =$$

$$= \frac{1}{4} \epsilon_0 \{ \chi_{xxyy} + \chi_{xyyx} + \chi_{xyxy} \} |E_2|^2 (E_1 e^{i\omega't} + c.c.)$$

$$= \frac{1}{4} \epsilon_0 \chi_{xxxx} |E_2|^2 (e^{i\omega't} + c.c.)$$

use: $\chi_{xxyy} + \chi_{xyyx} + \chi_{xyxy} = \chi_{xxxx}$

In the Kleinman limit all the cross-polarization terms are equal in isotropic media

Finally we get the Fourier component:

$$\rightarrow \boxed{P(\omega') = \frac{1}{2} \epsilon_0 \chi^{(3)} |E_2|^2 E_1} \quad (17.8)$$

$$\boxed{\chi^{(3)} = \chi_{xxxx}^{(3)}}$$

And the main result:

$$\boxed{n_2 = \frac{\chi^{(3)}}{2n_0^2 \epsilon_0 c}} \quad (17.9)$$

This is how a strong beam with the orthogonal polarization changes ref. index of the 'probe' beam

Self- and cross- nonlinear refraction: summary

$$n = n_0 + n_2 I$$

	NL ref. index n_2	Relative NL ref. index
Self phase modulation (SPM)	$n_2 = \frac{3\chi^{(3)}}{4n_0^2\epsilon_0 c}$	1
Cross phase modulation (XPM): two waves with polarization	$n_2 = \frac{3\chi^{(3)}}{2n_0^2\epsilon_0 c}$	2
Cross phase modulation (XPM): two waves with \perp polarization	$n_2 = \frac{\chi^{(3)}}{2n_0^2\epsilon_0 c}$	$\frac{2}{3}$

Cross-nonlinear refraction: summary

Hence, when two intensities are comparable, for the probe beam we have:

$$\Delta n = n_2(I_1 + 2I_2) \quad (17.10a)$$

probe beam pump beam, co-polarized

$$\Delta n = n_2\left(I_1 + \frac{2}{3}I_2\right) \quad (17.10b)$$

probe beam pump beam, orthogonally polarized

where $n_2 = \frac{3\chi^{(3)}}{4n_0^2\epsilon_0 c}$ - **self phase** modulation coeff.

Third-order nonlinear optical coefficients of various materials

Material	n_0	$\chi^{(3)}$ (m^2/V^2)	n_2 (cm^2/W)
<i>Crystals</i>			
Al ₂ O ₃	1.8	3.1×10^{-22}	2.9×10^{-16}
CdS	2.34	9.8×10^{-20}	5.1×10^{-14}
Diamond	2.42	2.5×10^{-21}	1.3×10^{-15}
GaAs	3.47	1.4×10^{-18}	3.3×10^{-13}
Ge	4.0	5.6×10^{-19}	9.9×10^{-14}
LiF	1.4	6.2×10^{-23}	9.0×10^{-17}
Si	3.4	2.8×10^{-18}	2.7×10^{-14}
TiO ₂	2.48	2.1×10^{-20}	9.4×10^{-15}
ZnSe	2.7	6.2×10^{-20}	3.0×10^{-14}
<i>Glasses</i>			
Fused silica	1.47	2.5×10^{-22}	3.2×10^{-16}
As ₂ S ₃ glass	2.4	4.1×10^{-19}	2.0×10^{-13}
BK-7	1.52	2.8×10^{-22}	3.4×10^{-16}
BSC	1.51	5.0×10^{-22}	6.4×10^{-16}
Pb Bi gallate	2.3	2.2×10^{-20}	1.3×10^{-14}
SF-55	1.73	2.1×10^{-21}	2.0×10^{-15}
SF-59	1.953	4.3×10^{-21}	3.3×10^{-15}

Material	n_0	$\chi^{(3)}$ (m^2/V^2)	n_2 (cm^2/W)
<i>Nanoparticles</i>			
CdSSe in glass	1.5	1.4×10^{-20}	1.8×10^{-14}
CS 3-68 glass	1.5	1.8×10^{-16}	2.3×10^{-10}
Gold in glass	1.5	2.1×10^{-16}	2.6×10^{-10}
<i>Polymers</i>			
<i>Polydiacetylenes</i>			
PTS		8.4×10^{-18}	3.0×10^{-12}
PTS		-5.6×10^{-16}	-2.0×10^{-10}
9BCMU			2.7×10^{-18}
4BCMU	1.56	-1.3×10^{-19}	-1.5×10^{-13}
<i>Liquids</i>			
Acetone	1.36	1.5×10^{-21}	2.4×10^{-15}
Benzene	1.5	9.5×10^{-22}	1.2×10^{-15}
Carbon disulfide	1.63	3.1×10^{-20}	3.2×10^{-14}
CCl ₄	1.45	1.1×10^{-21}	1.5×10^{-15}
Diiodomethane	1.69	1.5×10^{-20}	1.5×10^{-14}
Ethanol	1.36	5.0×10^{-22}	7.7×10^{-16}
Methanol	1.33	4.3×10^{-22}	6.9×10^{-16}
Nitrobenzene	1.56	5.7×10^{-20}	6.7×10^{-14}
Water	1.33	2.5×10^{-22}	4.1×10^{-16}
<i>Other materials</i>			
Air	1.0003	1.7×10^{-25}	5.0×10^{-19}
Ag		2.8×10^{-19}	
Au		7.6×10^{-19}	

for SI units m^2/W : to get m^2/W - divide this by 10^4

Third-order nonlinear optical coefficients of various materials

What is the intensity needed to get π phase shift for $L=1\text{m}$ fiber at $\lambda=1\ \mu\text{m}$?

Optical fiber: Fused silica (SiO_2)

$$n_2=3.2 \times 10^{-16} \text{ cm}^2/\text{W}$$

$$\Delta\varphi = \Delta(kL) = \frac{2\pi}{\lambda} L\Delta n = \pi$$

$$\Delta n = \frac{1}{2} \frac{\lambda}{L} = 5 \cdot 10^{-7}$$

$$\Delta n = I n_2$$

$$I=5 \times 10^{-7} / 3.2 \times 10^{-16}=1.56 \text{ GW}/\text{cm}^2$$

for $(10\ \mu\text{m})^2$ core, this corresponds to the power of 1.56 kW

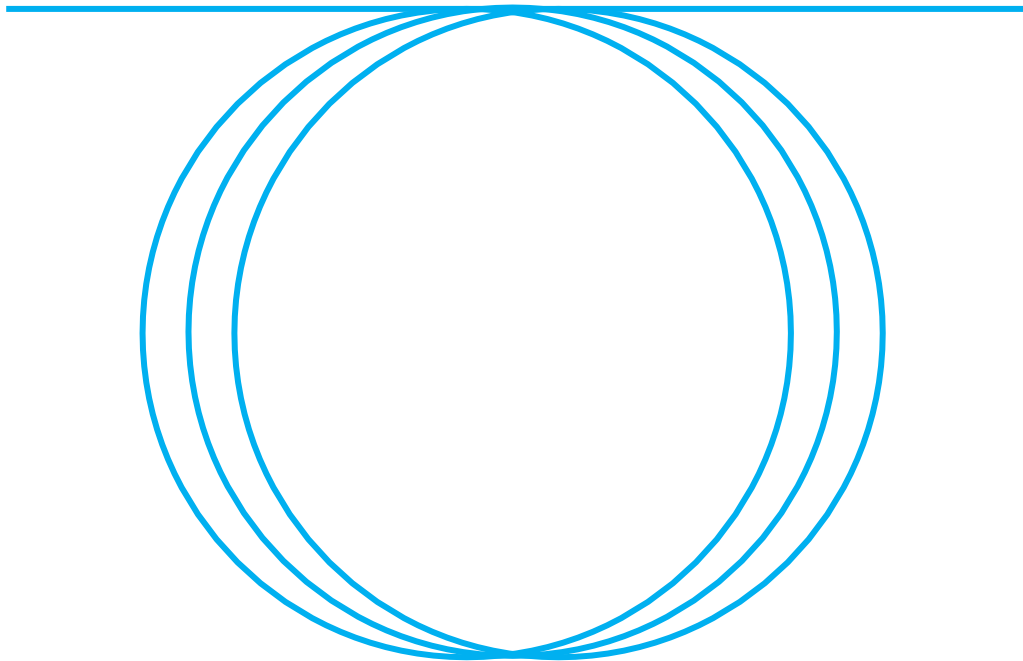
- can be achieved only with pulsed lasers

Optical fiber: As_2S_3 glass

$$n_2=2.0 \times 10^{-13} \text{ cm}^2/\text{W}$$

for $(20\ \mu\text{m})^2$ core

- need the power of 10 W only



DC Kerr effect

Electro-optic effect with DC field (DC Kerr effect)

Assume that we have an optical field $Re\{E_1 e^{i\omega t}\}$ in the presence of a DC field E_{DC} such that $|E_1| \ll |E_{DC}|$

Fourier component
↙

$$E(t) = \frac{1}{2} E_1 e^{i\omega t} + c.c.$$

NL polarization (scalar version)
in time domain:

$$P^{(3)}(t) = \epsilon_0 \chi^{(3)} E^3(t)$$

$$\chi^{(3)} = \chi_{xxxx}^{(3)}$$

$$P^{(3)}(t) = \epsilon_0 \chi^{(3)} \left(\frac{1}{2} E_1 e^{i\omega t} + \frac{1}{2} E_1^* e^{-i\omega t} + E_{DC} \right)^3 =$$

$$= \frac{1}{2} \epsilon_0 \chi^{(3)} 3 E_{DC}^2 (E_1 e^{i\omega t} + c.c.) + \dots$$

components with $\pm\omega$

components with
 $0, \pm 2\omega, \pm 3\omega$

frequency set:

$$\omega_p + \omega_q + \omega_r$$

$$p, q, r = -\omega, \omega, 0$$

$\omega + \omega + \omega = 3\omega$	1	$-\omega - \omega - \omega = -3\omega$	1
$\omega + \omega + 0 = 2\omega$	3	$-\omega - \omega + 0 = -2\omega$	3
$\omega + 0 + 0 = \omega$	3	$-\omega + 0 + 0 = -\omega$	3
$\omega - \omega + \omega = \omega$	3	$-\omega + \omega - \omega = -\omega$	3
$\omega - \omega + 0 = 0$	3	$\omega - \omega + 0 = 0$	3
$0 + 0 + 0 = 0$	1		

total 27

Electro-optic effect with DC field (DC Kerr effect)

pick only components with $\pm\omega$

$$P^{(3)}(t) = \frac{3}{2} \epsilon_0 \chi^{(3)} E_{DC}^2 (E_1 e^{i\omega t} + c.c)$$

$$\rightarrow P^{NL}(\omega) = 3\epsilon_0 \chi^{(3)} E_{DC}^2 E_1(\omega)$$

on the other hand $P^{NL}(\omega) = \Delta P = \Delta(\epsilon_0 \chi E_1) = \epsilon_0 \Delta \chi E_1$

$$\rightarrow \Delta \chi = 3\chi^{(3)} E_{DC}^2$$

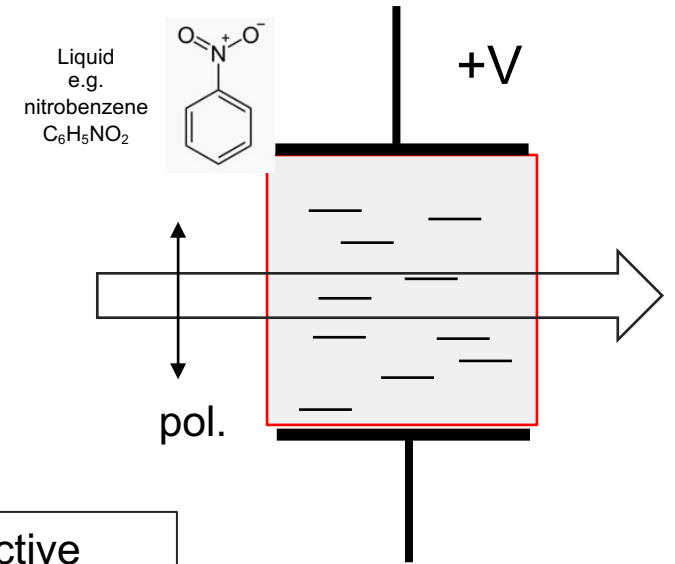
$$\Delta \chi = \Delta(n_0^2 - 1) = 2n_0 \Delta n$$

Here $\chi^{(3)} = \chi_{xxxx}^{(3)}$
but not the same
as optical $\chi^{(3)}$

$$\Delta n = \frac{3}{2n_0} \chi^{(3)} E_{DC}^2 \quad (17.11)$$

Kerr effect – the quadratic electro-optic effect – a change in the refractive index of a material in response to an applied electric field.

- not the same as linear EO effect in crystals - it is quadratic !



Speed of Light measurement

The Kerr Cell shutter was used in the 1920-40s to measure the speed of light. A beam of light is timed between an emitter and receiver while passing through a Kerr Cell. When the cell is activated the light beam is diverted and takes a different path to the receiver, this time difference is measured and the speed of light is calculated based on knowledge of the expected return time.

Electro-optic effect with DC field (DC Kerr effect)

Speed of Light measurement

The Kerr Cell shutter was used in the 1920-40s to measure the speed of light. A beam of light is timed between an emitter and receiver while passing through a Kerr Cell. When the cell is activated the light beam is diverted and takes a different path to the receiver, this time difference is measured and the speed of light is calculated based on knowledge of the expected return time.^[3]

